

1Fw 3626

Patent  
Orrick Docket No. 706737.36  
(formerly 264/055)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

STEPHEN PETTIT et al.

Serial No.: 09/753,910

Filed: January 2, 2001

For: IMMUNIZATION TRACKING  
METHOD

Group Art Unit: 3626

Examiner: Vanel Frenel

REVOCATION AND POWER OF ATTORNEY AND

CERTIFICATE UNDER 37 C.F.R. 3.73(b)

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

The assignee of record of the entire interest of the above-identified application hereby revokes all previous appointments of power of attorney and appoints the registered practitioners at Customer No. 34313:

**34313**  
PATENT TRADEMARK  
OFFICE

Orrick, Herrington & Sutcliffe, LLP  
4 Park Plaza, 16<sup>th</sup> Floor  
Irvine, California 92614-2558  
Tel.: (949) 567-6700  
Fax: (949) 567-6710

CERTIFICATE OF MAILING  
37 CFR §1.8

I hereby certify, pursuant to 37 CFR §1.8, that I have reasonable basis to expect that that this paper or fee (along with any referred to as being attached or enclosed) would be mailed or transmitted on or before the date indicated with the United States Postal Service with sufficient postage as first class mail on the date shown below in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

Dated: March 23, 2005

DOCSOC1:160763.1

*Jodie Davis*  
Jodie Davis

as its attorneys/agents with full power of substitution and revocation to prosecute this application, to transact all business in the Patent and Trademark Office, in connection therewith, and to receive any Letters Patent.

Please send all correspondence to the attention of Samuel B. Stone, at the above Customer Number and address, and direct all telephone calls to (949) 567-6700.

**CERTIFICATE UNDER 37 C.F.R. 3.73(b)**

SAFETY SYRINGES, INC., a Delaware Corporation, certifies that it is the assignee of the entire right, title, and interest in the patent(s)/application(s) identified above by virtue of either:

- A. An assignment from the inventor(s) of the patent/application identified above. The assignment of which was recorded in the Patent and Trademark Office on \_\_\_\_\_ at Reel \_\_\_\_\_ and Frame \_\_\_\_\_, or for which a copy thereof is attached.
- B.   X   A chain of title from the inventor(s) of the patent/application identified above, to the current assignee as shown below:
  - 1. An assignment of the application from the inventor Stephen Pettit to Immune Knowledge, LLC has been recorded on January 2, 2004, in the Patent and Trademark Office under Reel 014843, Frame 0340; and from inventor David M. Cobb to Immune Knowledge, LLC on January 2, 2004 under Reel 014843, Frame 0515.
  - 2. An assignment from of the application from Immune Knowledge, LLC to Safety Syringes, Inc, a Delaware corporation has been recorded in the Patent and Trademark Office on January 2, 2004, under Reel 014843, Frame 0346.

  X   Copies of assignments or other documents in the chain of title are attached as indicated.


The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein are of my own knowledge and are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

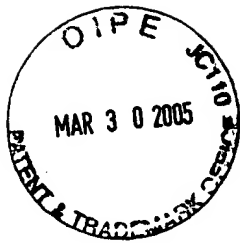
Dated:

MARCH 17, 2005

By:



Christer Andreasson  
President and Chief Executive Officer  
Safety Syringes, Inc.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 13, 2004

PTAS

ORRICK, HERRINGTON & SUTCLIFFE LLP  
SAMUEL B. STONE  
4 PARK PLAZA, SUITE 1600  
IRVINE, CA 92614-2558



\*102638756A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/02/2004

REEL/FRAME: 014843/0340  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PETTIT, STEPHEN W.

DOC DATE: 02/14/2002

ASSIGNEE:

IMMUNE KNOWLEDGE, LLC  
12140 MEADOWS LANE, SUITE 100  
ALPHARETTA, GEORGIA 30005

SERIAL NUMBER: 09753910

FILING DATE: 01/02/2001

PATENT NUMBER:

ISSUE DATE:

TITLE: IMMUNIZATION TRACKING METHOD

ORRICK IP PROSECUTION

Due Date 7/30/04

Action Item resp. to petition

Resp Atty SB S

Docketed by DMN

Entered 7/22/04

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JUL 22 2004

IRVINE OFFICE

014843/0340 PAGE 2

MARY BENTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

MAR 30 2005

RECORDED

01-06-2004

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



102638756

To the Hon. Commissioner of Patents & Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Stephen W. Pettit

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name Immune Knowledge, LLC

Internal Address: \_\_\_\_\_

Street Address: 12140 Meadows Lane, Suite 100

City: Alpharetta State GA ZIP 30005

Additional name(s) and address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

Execution Date: 02/14/2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is \_\_\_\_\_

A. Patent Application No.(s) 09/753,910

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name Orrick, Herrington & Sutcliffe LLP

Internal Address: \_\_\_\_\_

Street Address: 4 Park Plaza, Suite 1600

City: Irvine State CA ZIP 92614-2558

Attn: \_\_\_\_\_

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit Account number:

15-0665

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Samuel B. Stone

Name of Person Signing

Samuel B. Stone  
Signature

December 31, 2003

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services, Commissioner of Patents, P.O. Box 1450, Arlington, VA 22313-1450

01/06/2004 LNUELLER 00000009 150665 09753910

01 FC:8021

40.00 DA

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, I, STEPHEN W. PETTIT, a citizen of UNITED STATES, (hereinafter referred to as "ASSIGNOR"), have invented and own a certain invention entitled IMMUNIZATION TRACKING METHOD for which application for Letters Patent of the United States of America has been filed on January 2, 2001 assigned Serial No. 09/753,910; and

WHEREAS, **Immune Knowledge, LLC**, a limited liability company, having a place of business at 12140 Meadows Lane, Suite 100, Alpharetta, Georgia 30005 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under any Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNOR hereby authorizes and requests the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents or any legal equivalent thereof, to issue said patents to ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNOR further covenants that ASSIGNEE will, upon ASSIGNEE's request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and ASSIGNOR will testify as to the same in any interference or litigation related thereto and ASSIGNOR will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

WITNESS my hand at Suwanee, Georgia, this 14<sup>th</sup> day of February \_\_\_\_\_, 2002.

  
STEPHEN W. PETTIT





UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 13, 2004

PTAS  
ORRICK, HERRINGTON & SUTCLIFFE LLP  
SAMUEL B. STONE  
4 PARK PLAZA, SUITE 1600  
IRVINE, CA 92614-2558



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UNITED STATES PATENT AND TRADEMARK OFFICE  
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/02/2004

REEL/FRAME: 014843/0515  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

COBB, DAVID M.

DOC DATE: 02/14/2002

ASSIGNEE:

IMMUNE KNOWLEDGE, LLC  
12140 MEADOWS LANE, SUITE 100  
ALPHARETTA, GEORGIA 30005

SERIAL NUMBER: 09753910

FILING DATE: 01/02/2001

PATENT NUMBER:

ISSUE DATE:

TITLE: IMMUNIZATION TRACKING METHOD

ORRICK IP PROSECUTION

Due Date 7/30/04

Action Item resp. to petition refiled

Resp Atty SBS

Docketed by DMW

Entered 7/19/04

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IRVINE OFFICE

014843/0515 PAGE 2

MARCUS KIRK, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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FORM PTO-1593  
(Rev. 10/02)

RECORDED

01-06-2004

I EET U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

OMB No. 0651-0027 (exp. 6/30/2005)



102638757

To the Hon. Commissioner of Patents &amp; Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

David M. Cobb

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name Immune Knowledge, LLC

Internal Address: \_\_\_\_\_

Street Address: 12140 Meadows Lane, Suite 100City: Alpharetta State GA ZIP 30005Additional name(s) and address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Execution Date: 02/14/2002

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/753,910

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name Orrick, Herrington & Sutcliffe LLP

Internal Address: \_\_\_\_\_

Street Address: 4 Park Plaza, Suite 1600City: Irvine State CA ZIP 92614-2558

Attn: \_\_\_\_\_

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit Account number:

15-0665

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Samuel B. Stone

Name of Person Signing

Signature

December 31, 2003

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services, Commissioner of Patents, P.O. Box 1450, Arlington, VA 22313-1450

01/06/2004 LNUELLER 00000008 150665 09753910

01 FC:8021 40.00 BA

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, I, **DAVID M. COBB**, a citizen of **UNITED STATES**, (hereinafter referred to as "ASSIGNOR"), have invented and own a certain invention entitled **IMMUNIZATION TRACKING METHOD** for which application for Letters Patent of the United States of America has been filed on January 2, 2001 assigned Serial No. 09/753,910; and

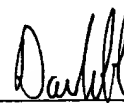
WHEREAS, **Immune Knowledge, LLC**, a limited liability company, having a place of business at 12140 Meadows Lane, Suite 100, Alpharetta, Georgia 30005 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under any Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNOR hereby authorizes and requests the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents or any legal equivalent thereof, to issue said patents to ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

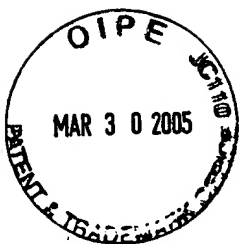
ASSIGNOR further covenants that ASSIGNEE will, upon ASSIGNEE's request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and ASSIGNOR will testify as to the same in any interference or litigation related thereto and ASSIGNOR will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

WITNESS my hand at Bethlehem, Pennsylvania, this 14<sup>th</sup> day of February, 2002.



---

DAVID M. COBB



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 13, 2004

PTAS

ORRICK, HERRINGTON & SUTCLIFFE LLP  
SAMUEL B. STONE  
4 PARK PLAZA, SUITE 1600  
IRVINE, CA 92614-2558



\*102638758A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
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RECORDATION DATE: 01/02/2004

REEL/FRAME: 014843/0346  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

IMMUNE KNOWLEDGE, LLC

DOC DATE: 02/15/2002

ASSIGNEE:

SAFETY SYRINGES, INC.  
1939 PALOMAR OAKS WAY, SUITE A  
CARLSBAD, CALIFORNIA 92009

SERIAL NUMBER: 09753910

FILING DATE: 01/02/2001

PATENT NUMBER:

ISSUE DATE:

TITLE: IMMUNIZATION TRACKING METHOD

ORRICK IP PROSECUTION

Due Date 7/30/04

Action Item Resp to Petition

Resp Atty SBS

Docketed by DMN

Entered 7/22/04

RECEIVED

JUL 22 2004

IRVINE OFFICE

014843/0346 PAGE 2

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

FORM PTO-1356  
(Rev. 10/02)

OMB No. 0651-0027 (Rev. 6/30/2005)

RECO

01-06-2004

HEET U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



102638758

To the Hon. Commissioner of Patents & Trademarks: Please return original documents or copy thereof.

1. Name of conveying party(ies):

Immune Knowledge, LLC

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name Safety Syringes, Inc.

Internal Address: \_\_\_\_\_

Street Address: 1939 Palomar Oaks Way, Suite A

City: Carlsbad State CA ZIP 92009

Additional name(s) and address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

Execution Date: 02/15/2002

4. Application number(s) or patent number(s):

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A. Patent Application No.(s) 09/753,910

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name Orrick, Herrington & Sutcliffe LLP

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Attn: \_\_\_\_\_

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit Account number:

15-0665

(Attach duplicate copy of this page if paying by deposit account)

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*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Samuel B. Stone

Name of Person Signing

[Signature]  
Signature

December 31, 2003

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Mail Stop Assignment Recordation Services, Commissioner of Patents, P.O. Box 1450, Arlington, VA 22313-1450

01/06/2004 LNUJELLER 00000007 150665 09753910

01 FC:8021 40.00 DA



**ASSIGNMENT**

WHEREAS, **IMMUNE KNOWLEDGE, LLC**, a Georgia limited liability company, having its principal place of business at **3890 Atlanta Highway, Cumming, Georgia 30040** (hereinafter referred to as "ASSIGNOR"), owns the entire right, title, and interest in and to the inventions disclosed and claimed in U.S. patent application Serial No. 09/753,910 entitled "**IMMUNIZATION TRACKING METHOD**" for which application for Letters Patent of the United States of America was filed on January 2, 2001;

WHEREAS, **SAFETY SYRINGES, INC.**, a Delaware corporation, having its principal place of business at **1939 Palomar Oaks Way, Suite A, Carlsbad, California 92009** (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the entire right, title and interest in, to and under said inventions and patent application;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, and transfers unto the ASSIGNEE its successors and assigns, the entire right, title, and interest in and to the inventions, and patent application including the right to sue and recover for past infringement thereof; the same to be held and enjoyed by the ASSIGNEE for its own use, and for the use of its legal representatives, to the full term for which any patents to be obtained for the invention and the patent application may be granted as fully and entirely as the same would have been held by ASSIGNOR had this assignment not been made.

WITNESS my hand at Suwanee, Georgia, this 15 day of February, 2002.

**IMMUNE KNOWLEDGE, LLC**

By: Sandra L. Gutting  
Name: Sandra L. Gutting  
Title: President

STATE OF GEORGIA                   )  
  )  
COUNTY OF Fulton                   )

On Feb. 15, 2002 before me, Amy B. Terry, personally appeared  
Sandra L. Gutting

☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Amy B. Terry  
Notary Public in and for said County and State

